



*st. Ed.*

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application No.	10/607,525
		Filing Date	June 25, 2003
		First Named Inventor	Valery M. Dubin
		Art Unit	2811
		Examiner Name	Nitin Parekh
Total Number of Pages in This Submission	4	Attorney Docket Number	42P16623

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form  <input checked="" type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
	Remarks	Check in the amount \$180.00 Return Postcard 1 Cited Reference

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Gregory D. Caldwell, Reg. No. 39,926  <b>BLAKELY, SOKOLOFF, TAYLOR &amp; ZAFMAN LLP</b>
Signature	
Date	January 20, 2005

### CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name	Katherine Jennings		
Signature		Date	January 20, 2005

Based on PTO/SB/21 (04-04) as modified by Blakely, Sokoloff, Taylor & Zafman (w/ 06/04/2004)  
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# FEET TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

180.00

#### Complete if Known

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Art Unit	2811
Attorney Docket No.	42P16623

#### METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee  
 Charge any additional fee(s) or underpayment of fee(s)  Credit any overpayments  
 under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

#### FEE CALCULATION

##### Large Entity      Small Entity

Fee Code (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	2051	65	Surcharge - late filing fee or oath	
1052	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	2053	130	Non-English specification	
1251	2251	60	Extension for reply within first month	
1252	2252	225	Extension for reply within second month	
1253	2253	510	Extension for reply within third month	
1254	2254	795	Extension for reply within fourth month	
1255	2255	1,080	Extension for reply within fifth month	
1401	2401	250	Notice of Appeal	
1402	2402	250	Filing a brief in support of an appeal	
1403	2403	500	Request for oral hearing	
1451	2451	1,510	Petition to institute a public use proceeding	
1460	2460	130	Petitions to the Commissioner	
1807	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	1806	180	Submission of Information Disclosure Stmt	
1809	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	2810	395	Filing a submission after final rejection (37 CFR § 1.129(b))	
SUBTOTAL (2)				180.00

Other fee (specify) No Fee

SUBTOTAL (2) (\$)

180.00

#### Complete (if applicable)

Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 439-8778
Signature				Date	01/20/05



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: the Application of:

VALERY M. DUBIN

Application No.: 10/607,525

Filed: June 25, 2003

For: **METHODS OF FABRICATING A COMPOSITE  
CARBON NANOTUBE THERMAL INTERFACE  
DEVICE**

Art Group: 2811

Examiner: Nitin Parekh

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

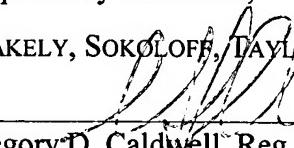
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: January 20, 2005

  
\_\_\_\_\_  
Gregory D. Caldwell, Reg. No. 39,926

12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025  
Telephone: (503) 439-8778

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\_\_\_\_\_  
Katherine Jennings

01-20-05

Date



Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(use as many sheets as necessary)**

Sheet 1 of 2

<i>Complete if Known</i>	
Application Number	10/607,525
Filing Date	June 25, 2003
First Named Inventor	Valery M. Dubin
Art Unit	2811
Examiner Name	Nitin Parekh
Attorney Docket Number	42P16623

**U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

**Examiner  
Signature**

Date  
Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/CSA (03-03) as modified by Blakely, Stockoff, Taylor & Zafman (w/r) 06/11/2003

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Substitute for form 1449A/PTO		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application Number	10/607,525
		Filing Date	June 25, 2003
		First Named Inventor	Valery M. Dubin
		Art Unit	2811
		Examiner Name	Nitin Parekh
		Attorney Docket Number	42P16623
Sheet	2	of	2

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	2	SHIN, JG, Electromagnetic wave shielding heat-sink plate using carbon nanotube, Derwent, May 23, 2002

Examiner Signature	Date Considered
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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